

Quarterly Compliance Report on Corporate Governance

Name of the Company: GCM Securities Limited

Quarter ending on: 31st March, 2015

Particulars	Clause of Listing Agreement	Compliance Status Yes/No/N.A	Remarks
II. Board of Directors	52 (II)		
(A) Composition of Board	52 (IIA)	Yes	N.A.
(B) Independent Directors	52 (IIB)	Yes	N.A.
(C) Non-executive Directors' compensation & disclosures	52 (IIC)	Yes	N.A.
(D) Other provisions as to Board and Committees	52 (IID)	Yes	N.A.
(E) Code of Conduct	52 (IIE)	Yes	N.A.
(F) Whistle Blower Policy	52 (IIF)	Yes	N.A.
III. Audit Committee	52 (III)		N.A.
(A) Qualified & Independent Audit Committee	52 (IIIA)	Yes	N.A.
(B) Meeting of Audit Committee	52 (IIIB)	Yes	N.A.
(C) Powers of Audit Committee	52 (IIIC)	Yes	N.A.
(D) Role of Audit Committee	52 (IIID)	Yes	N.A.
(E) Review of Information by Audit Committee	52 (IIIE)	Yes	N.A.
IV. Nomination and Remuneration Committee	52 (IV)	Yes	N.A.
V. Subsidiary Companies	52 (V)	N.A.	N.A.
VI. Risk Management	52 (VI)	Yes	N.A.
VII. Related Party Transactions	52 (VII)	Yes	N.A.
VIII. Disclosures	52 (VIII)		
(A) Related party transactions	52 (VIIIA)	Yes	Will be complied in next Annual Report
(B) Disclosure of Accounting Treatment	52 (VIIIB)	Yes	Will be complied in next Annual Report
(C) Remuneration of Directors	52 (VIII C)	Yes	Will be complied in next Annual Report
(D) Management	52 (VIII D)	Yes	Will be complied in next Annual Report
(E) Shareholders	52 (VIII E)	Yes	Will be complied in next Annual General Meeting
(F) Proceeds from public issues, rights issue, preferential issues, etc.	52 (VIII F)	N.A.	N.A.
IX. CEO/CFO Certification	52 (IX)	Yes	Will be complied in next Annual Report
X. Report on Corporate Governance	52 (X)	Yes	Will be complied in next Annual Report
XI. Compliance	52 (XI)	Yes	Will be complied in next Annual Report

For GCM Securities Limited

Samir Baid
Director
DIN: 00243521

