

Quarterly Compliance Report on Corporate Governance

Name of the Issuer : **GCM SECURITIES LIMITED**

Quarter Ending on : 30th June 2014

Particulars	Clause of Listing Agreement	Compliance Status Yes/No	Remarks
I Board of Directors	52 1		
(A) Composition of Board	52 (IA)	Yes	Complied in Annual Report
(B) Non-executive Directors' compensation & disclosures	52 (IB)	Yes	Complied in Annual Report
(C) Other provisions as to Board and Committees	52 (IC)	Yes	Complied in Annual Report
(D) Code of Conduct	(52 (ID)	Yes	Complied in Annual Report
II. Audit Committee			
(A) Qualified & Independent Audit Committee	52 (IIA)	Yes	Complied in Annual Report
(B) Meeting of Audit Committee	52 (IIB)	Yes	Complied in Annual Report
(C) Powers of Audit Committee 52 (IIC)	52 (IIC)	Yes	Complied in Annual Report
(D) Role of Audit Committee	52 II(D)	Yes	Complied in Annual Report
(E) Review of Information by Audit Committee	52 (IIE)	Yes	Complied in Annual Report
III. Subsidiary Companies	52 (III)	Yes	N. A.
IV. Disclosures	52 (IV)		
(A) Basis of Related Party Transactions	52 (IV A)	Yes	Complied in Annual Report
(B) Disclosure of Accounting Treatment	52 (IV B)	Yes	Complied in Annual Report
(C) Board Disclosures	52 (IV C)	Yes	Complied in Annual Report
(D) Proceeds from Public Issues, Rights Issues, Preferential Issues etc.	52 (IV D)	Yes	Complied in Annual Report
(E) Remuneration of Directors	52 (IV E)	Yes	Complied in Annual Report
(F) Management	52 (IV F)	Yes	Complied in Annual Report
(G) Shareholders	52 (IV G)	Yes	To be Complied in Annual General Meeting
V. CEO/CFO Certification	52 (V)	Yes	Complied in Annual Report
VI. Report on Corporate Governance	52 (VI)	Yes	Complied in Annual Report
VII. Compliance	52 (VII)	Yes	Complied in Annual Report

For **GCM SECURITIES LIMITED**

MANISH BAID
MANAGING DIRECTOR



Place : Mumbai

Date : July 8, 2014